# Hartogs' Lemma and Applications to Complex Dynamics 

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We will try to understand this in the case of one dimensional complex dynamics.


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Complex Analysis - Montel's Theorem.

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$\mathcal{F}$ has a subsequence which converges locally uniformly.
Montel's Theorem
If there are 3 distinct points $\{a, b, c\} \subset \widehat{\mathbb{C}}$ such that,
$f(S) \subseteq \widehat{\mathbb{C}} \backslash\{a, b, c\}$ for every $f \in \mathcal{F}$, then $\mathcal{F}$ is a normal family.

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Figure: $z^{2}+(-.122+.745 i)$


Figure: $z^{2}+i$

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Theorem
If $p: \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ is a polynomial of degree $\geq 2$, then there can be at most 2 exceptional points. Moreover, if they exist, they must be (super)attracting, hence belong to the Fatou set.

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The proof is an application of Montel's Theorem.

## Transitivity of Julia set

## Theorem (Transitivity)

Let $z$ be an arbitrary point of the Julia set $J_{p}$ and let $U$ be an arbitrary neighborhood of $z$. Then the union $V=\bigcup_{n=0}^{\infty} p^{n}(U)$ contains the whole Riemann sphere except possibly the 2 exceptional points, if they exist.

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But $U$ contains a point in $J_{p}$.
Contradiction.

## Transitivity - rephrased

Theorem (Transitivity)
Let $p(z)$ be a polynomial and let $z_{0} \in J_{p}$. Then for any $w \in \widehat{\mathbb{C}}$ which is not an exceptional point, there exists a sequence of preimages of $w$ that converges to $z_{0}$.

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v(z)=\log _{+}|z|:=\max \{0, \log |z|\} .
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Notice if $u \in L_{l o c}^{1}\left(\mathbb{R}^{2}\right)$, then $u d$ Leb gives a signed measure.

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For a monic polynomial $p(z)=\prod_{i=1}^{n}\left(z-z_{i}\right)$, we have
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## Theorem (Fundamental Equivalence)

If $h$ is $S H$, then $\Delta h$ is a non-negative measure. Conversely, if $\mu$ is a distribution such that $\Delta \mu$ is a non-negative measure, then $\mu$ is given by a $S H$ function. i.e there is a $S H$ function $v$ such that $\langle\mu, \phi\rangle=\int \phi \cdot v d L e b$.

## Hartogs' Lemma: an analogy of Montel's Theorem

Compactness theorem and Hartogs' Lemma
Let $v_{j}$ be a sequence of subharmonic functions on a domain $\Omega \in \mathbb{C}$, which have a uniform upper bound on any compact set. Then

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(a) if $v_{j}$ does not converge to $-\infty$ uniformly on every compact set in $\Omega$ then there is a subsequence $v_{j k}$ which is convergent in $L_{l o c}^{1}(\Omega)$.
(b) if $v$ is a subharmonic function and $v_{j} \rightarrow v$ in $\mathcal{D}^{\prime}(\Omega)$, then $v_{j} \rightarrow v$ in $L_{l o c}^{1}(\Omega)$.

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With the two sides equal and finite a.e.

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Part (b) of above is the classical Hartogs' Lemma.

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Let us re-prove transitivity of the Julia set using Hartogs' Lemma.

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Let $G_{n}(z)=\frac{1}{2^{n}} \log _{+}\left|p^{n}(z)\right|$.
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$\Delta G$ is zero everywhere except on the Julia set.
$\mu:=\frac{1}{2 \pi} \Delta G$ is a measure supported on the Julia set. (In fact, $\mu$ has important dynamical meaning: it is the unique invariant measure for $p$ that gives the maximal entropy.)

## Green Function for $z^{2}+(-.122+.745 i)$



Figure: colors indicate rates of escape

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$\mu$ assigns mass to any neighborhood of any point of the Julia set.

## Transitivity of Julia set revisited

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Theorem (Transitivity)
Let $p(z)$ be a polynomial and let $z_{0} \in J_{p}$. Then for any $w \in \widehat{\mathbb{C}}$ which is not an exceptional point, there exists a sequence of preimages of $w$ that converges to $z_{0}$.

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Let us prove the analog of transitivity in the measure theoretical sense using compactness theorem and Hartogs' Lemma, instead of Montel's Theorem.

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Warning: now we have log instead of $\log _{+}$

## Brolin's Theorem For $z^{2}-1$



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Figure: 4th pullback of
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Figure: 8 th pullback of $w=0$.

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Figure: 8th pullback of $w=1+i$.
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## Transitivity vs Brolin's Theorem

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## Proof of Brolin's Theorem for $p(z)=z^{2}+c, c \neq 0$

To simply notation, let $z_{n}:=p^{n}(z)$ and $u_{n}(z):=\frac{1}{2^{n}} \log \left|z_{n}-w\right|$.

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We will abuse notation and just denote the subsequence as $u_{n}$.
Contradiction hypothesis: Suppose $v \neq G$. Then they differ on $K_{p}$. We will derive a contradiction using control of how much iterates of $p$ can contract the radius of a disc.

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How is that a contradiction?

## Proof of Brolin's Theorem for $p(z)=z^{2}+c, c \neq 0$

For any disc of radius $r$ small, $p^{n}(\mathbb{D}(z, r))$ always contains a disc of radius $A r^{\sqrt{2}}$ for some constant $A>0$.

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Since $c \neq 0$, a disc can only get mapped near the critical point every other iterate.

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Applications: We can prove analogs of Brolin's theorem to holomorphic endomorphisms of $\mathbb{C P} \mathbb{P}^{2}$, or automorphisms of $\mathbb{C}^{2}$. For example the complex Hénon map.

