On multiple orthogonal polynomials

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Let $\vec{\mu} = (\mu_1, \dots, \mu_d)$ be a vector of measures supported on the real line, each having infinitely many points in its support and finite moments of all orders.

Let $\vec{n} = (n_1, \dots, n_d)$ be a multi-index of non-negative integers.

Multiple orthogonal polynomial $P_{\vec{n}}(x)$ (type II) is a polynomial of degree at most $|\vec{n}| = n_1 + \cdots + n_d$ satisfying

$$\int x^k P_{\vec{n}}(x) d\mu_i(x) = 0, \quad k = \overline{0, n_i - 1}.$$

The multi-index \vec{n} is called *normal* if deg $P_{\vec{n}} = |\vec{n}|$. In this case we normalize $P_{\vec{n}}(x)$ to be monic. The vector $\vec{\mu}$ is called *perfect* if all the multi-indices are normal.

Theorem (Angelesco, 1919)

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Theorem (López Lagomasino–Fidalgo Prieto, 2011)

Let σ_i be *d* auxiliary measures and F_i be the convex hulls of their supports. Assume that $F_i \cap F_{i+1} = \emptyset$. Write

$$d\langle \sigma, \nu \rangle(x) := \widehat{\nu}(x) d\sigma(x), \quad \widehat{\nu}(x) := \int (z-y)^{-1} d\nu(y).$$

Let $\langle \sigma_j, \ldots, \sigma_k \rangle := \langle \sigma_j, \langle \sigma_{j+1}, \ldots, \sigma_k \rangle \rangle$. Put

$$\mu_1 := \sigma_1$$

$$\mu_2 := \langle \sigma_1, \sigma_2 \rangle$$

$$\dots$$

$$\mu_d := \langle \sigma_1, \dots, \sigma_d \rangle.$$

Then $\vec{\mu}$, called a Nikishin system, is perfect.

Let $\{\vec{e}_i\}_{i=1}^d$ be the standard basis in \mathbb{R}^d . If \vec{n} and $\vec{n} + \vec{e}_i$ are normal, then

$$xP_{\vec{n}}(x) = P_{\vec{n}+\vec{e_j}}(x) + b_{\vec{n},j}P_{\vec{n}}(x) + \sum_{i=1}^{d} a_{\vec{n},i}P_{\vec{n}-\vec{e_i}}(x)$$

for some coefficients $b_{\vec{n},i}$, $a_{\vec{n},i}$. These coefficients satisfy consistency conditions

$$b_{\vec{n}+\vec{e}_{i},j} - b_{\vec{n}+\vec{e}_{j},i} = b_{\vec{n},j} - b_{\vec{n},i},$$

$$\sum_{k=1}^{d} a_{\vec{n}+\vec{e}_{j},k} - \sum_{k=1}^{d} a_{\vec{n}+\vec{e}_{i},k} = b_{\vec{n}+\vec{e}_{j},i}b_{\vec{n},j} - b_{\vec{n}+\vec{e}_{i},j}b_{\vec{n},i},$$

$$a_{\vec{n},i}(b_{\vec{n},j} - b_{\vec{n},i}) = a_{\vec{n}+\vec{e}_{j},i}(b_{\vec{n}-\vec{e}_{i},j} - b_{\vec{n}-\vec{e}_{i},i}).$$

When d = 1, these relations simply become classical recurrence relations for monic orthogonal polynomials

$$xP_n(x;\mu) = P_{n+1}(x;\mu) + b_n(\mu)P_n(x;\mu) + a_n^2(\mu)P_{n-1}(x;\mu).$$

Theorem (Filipuk–Haneczok–Van Assche, 2015)

If the recurrence coefficients $\{a_{\vec{n},i}b_{\vec{n},i}\}$ satisfy

- consistency conditions,
- $a_{n\vec{e}_i,i} > 0$ and $a_{n\vec{e}_i,j} = 0$, $i \neq j$,
- $b_{\vec{n},i} \neq b_{\vec{n},j}$ for $i \neq j$,

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Conversely, $\{a_{\vec{n},i}b_{\vec{n},i}\}\$ can be constructively recovered from $\{b_n(\mu_i), a_n^2(\mu_i)\}\$ and the initial conditions

$$b_{n\vec{e}_i,i} = b_n(\mu_i), \quad a_{n\vec{e}_i,i} = a_n^2(\mu_i), \quad a_{n\vec{e}_i,j} = 0, \quad j \neq i,$$

provided $b_{\vec{n},i} \neq b_{\vec{n},j}$ for $i \neq j$.

The condition $b_{\vec{n},i} \neq b_{\vec{n},j}$ holds for multiple Hermite $(e^{-x^2-c_ix})$, Laguerre $(x^{\alpha_j}e^{-x}, x^{\alpha}e^{-c_jx})$, and Charlier $(a_i^k/k!)$ polynomials as well as for Angelesco systems where $b_{\vec{n},i} < b_{\vec{n},j}$, i < j (Aptekarev–Denisov-Ya., 2020).

Theorem (Van Assche, 2016)

Let $\vec{n} = (\lfloor c_1 n \rfloor, \dots \lfloor c_d n \rfloor)$ for some $\vec{c} \in (0, 1)^d$ with $|\vec{c}| = 1$. Assume that

$$\lim_{n \to \infty} n^{-2\gamma} a_{\vec{n},i} = A_{\vec{c},i} \quad and \quad \lim_{n \to \infty} n^{-\gamma} b_{\vec{n},i} = B_{\vec{c},i}$$

for some $\gamma \geq 0$ with $B_{\vec{c},i} \neq B_{\vec{c},j}$. Then

$$\lim_{n\to\infty}\frac{P_{\vec{n}+\vec{e_i}}(n^{\gamma}z)}{n^{\gamma}P_{\vec{n}}(n^{\gamma}z)}=\chi_{\vec{e}}(z)-B_{\vec{e},i},$$

where $z = \chi_{\vec{c}} + \sum_{i} \frac{A_{\vec{c},i}}{\chi_{\vec{c}} - B_{\vec{c},i}}$ such that $\chi_{\vec{c}}(z) - z \to 0$ as $z \to \infty$.

When $a_n^2(\mu) \to A^2$, $b_n(\mu) \to B$, the theorem recovers

$$2\chi(z) = (z+B) + \sqrt{(z-B-2A)(z-B+2A)}.$$

Theorem (Gonchar–Rakhmanov, 1985)

Let $F = \{F_i\}$ be a collection of intervals, $\theta = \{\theta_i\}$, $\theta_i > 0$, and $A = [a_{ij}]$ be a positive definite symmetric matrix with $a_{ii} > 0$ and $a_{ij} = 0$ if $F_i \cap F_j \neq \emptyset$, $i \neq j$.

Let $M_{\theta}(F)$ be the set of vector measures $\vec{\nu} = (\nu_1, \dots, \nu_d)$ such that ν_i is supported on F_i and $|\nu_i| = \theta_i$. Define

$$I(\vec{\nu}) := -\sum a_{ij} \iint \log |x-y| d\nu_i(x) d\nu_j(y).$$

Then there exists a unique $\vec{\omega} \in M_{\theta}(F)$, the vector equilibrium measure, such that $I(\vec{\omega}) = \min_{M_{\theta}(F)} I(\vec{\nu})$.

GN Systems

Let \mathcal{G} be a rooted tree with d + 1 vertices V_0, V_1, \ldots, V_d , where V_0 is the root. To each V_i , i > 0, associate an interval F_i such that $F_i \cap F_j = \emptyset$ if V_i and V_j are either siblings or one is a child of the other.



 V_1, V_2 are siblings and children of V_0 ; $F_1 \cap F_2 = \emptyset$; V_3 , V_4 are siblings and children of V_1 ; $F_i \cap F_j = \emptyset$, $i, j \in \{1, 3, 4\}$; V_5 , V_6 are siblings and children of V_2 ; $F_i \cap F_i = \emptyset$, $i, j \in \{2, 5, 6\}$.

GN Systems

On each interval F_i , choose an auxiliary measure σ_i . Given V_m , let

$$V_0 \rightarrow V_{i_1} \rightarrow V_{i_2} \rightarrow \cdots \rightarrow V_{i_k} = V_m$$

be the path connecting V_0 and V_m . A GN system is a vector $\vec{\mu}$ with



 $\mu_m := \langle \sigma_{i_1}, \ldots, \sigma_{i_k} \rangle.$

 $\mu_1 = \sigma_1, \ \mu_2 = \sigma_2, \ \mu_3 = \langle \sigma_1, \sigma_3 \rangle, \ \mu_4 = \langle \sigma_1, \sigma_4 \rangle, \ \mu_5 = \langle \sigma_2, \sigma_5 \rangle, \ \mu_6 = \langle \sigma_2, \sigma_6 \rangle.$

Aptekarev-Lysov generalized this construction to graphs where multiple edges between vertices are allowed.

Given $\vec{c} \in (0, 1)^d$, set $\theta_m = c_m + \sum c_i$, where the sum is over all descendants V_i of V_m .



Further, let $a_{ii} = 2$, $a_{ij} = -1$ if V_i , V_j is a child/parent pair, $a_{ij} = 1$ if V_i , V_j are siblings, and otherwise $a_{ij} = 0$.

Theorem (Gonchar–Rakhmanov–Sorokin, 1997)

Assume that $d\sigma_i/dx > 0$ a.e. on F_i . Suppose further that \vec{n} is such that $n_i \leq n_j + 1$ if V_i is a child of V_j and that $\vec{n}/|\vec{n}| \rightarrow \vec{c}$ as $|\vec{n}| \rightarrow \infty$. Let $\vec{\omega}_{\vec{c}}$ be the vector equilibrium measure. Then the normalized counting measures of zeros of $P_{\vec{n}}(z)$ converge weak^{*} to $\sum \omega_{\vec{c},i}$ where the sum is taken over the children of V_0 .

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Theorem (Gonchar–Rakhmanov, 1981)

For Angelesco systems it holds that the support of $\omega_{\vec{c},i}$ is an interval.

Aptekarev-Lysov claim that this is true for all GN systems.

Theorem (Geronimo-Kuijlaars-Van Assche, 2001)

Let $d\mu_i(x) = \rho_i(x)dx$. Consider the following Riemann-Hilbert problem for $(d + 1) \times (d + 1)$ matrices:

- (a) $\mathbf{Y}(z)$ is analytic in $\mathbb{C} \setminus \mathbb{R}$ and $\lim_{z \to \infty} \mathbf{Y}(z) z^{-\sigma(\vec{n})} = \mathbf{I}$, where \mathbf{I} is the identity matrix and $\sigma(\vec{n}) := \operatorname{diag}(|\vec{n}|, -n_1, \dots, -n_d);$
- (b) on the real line it holds that $\mathbf{Y}_{+}(x) = \mathbf{Y}_{-}(x)(\mathbf{I} + \sum \rho_{i}(x)\mathbf{E}_{1,i+1})$, where $\mathbf{E}_{1,i+1}$ has all zero entries except for (1, i + 1), which is 1.

This problem has a unique solution whose (1, 1)-entry is $P_{\vec{n}}(z)$.

The proof is the modification of the one by Fokas–Its–Kitaev in the case d = 1.

Let $\vec{\mu}$ be an Angelesco system corresponding to intervals $\Delta_1 < \Delta_2 < \cdots < \Delta_d$. Given $\vec{c} \in (0, 1)^d$, let $\vec{\omega}_{\vec{c}}$ be the vector equilibrium measure constructed before. Denote by $\Delta_{\vec{c},i} \subseteq \Delta_i$ the support of $\omega_{\vec{c},i}$, which is an interval.

We shall assume that $d\mu_i(x) = \rho_i(x)dx$, where $\rho_i(x)$ extends to a holomorphic and non-vanishing function in a neighborhood of Δ_i (we can also consider Fisher-Hartwig perturbations).

When $\vec{c} = \vec{n}/|\vec{n}|$, we shall simply write write $\vec{\omega}_{\vec{n}}$ and $\Delta_{\vec{n},i}$.

Strong Asymptotics in Angelesco Systems



The surface $\Re_{\vec{n}}$ constructed w.r.t to cuts $\Delta_{\vec{n},i}$ and has genus 0. Let $\Phi_{\vec{n}}(z)$ be the rational function on $\Re_{\vec{n}}$ such that

$$(\Phi_{\vec{n}}) = n_1 \infty^{(1)} + \dots + n_d \infty^{(d)} - |\vec{n}| \infty^{(0)}, \quad \prod \Phi_{\vec{n}}(z^{(k)}) \equiv 1.$$

Theorem (Ya., 16)

If $\vec{n}/|\vec{n}| \rightarrow \vec{c} \in (0,1)^d$ as $|\vec{n}| \rightarrow \infty$, then

$$P_{\vec{n}}(z) \sim (\Phi_{\vec{n}}S)(z^{(0)}),$$

where S(z) is a Szegő-type function on $\mathfrak{R}_{\vec{c}}$.

Similar result for Nikishin systems with d = 2 and $\vec{n} = n\vec{c}$ for $\vec{c} \in \mathbb{Q}^2 \cap (0, 1)^2$ was proven by López Lagomasino–Van Assche, 2018.

Theorem (Aptekarev–Denisov–Ya., in prep.)

When d = 2, the condition $\vec{n}/|\vec{n}| \rightarrow \vec{c} \in (0,1)^d$ can be replaced by $n_i \rightarrow \infty$.

There are many other results along the diagonal sequences (n, n, \ldots, n) .

Theorem (Aptekarev–Denisov–Ya., 2020)

Let $\chi_{\vec{c}}: \mathfrak{R}_{\vec{c}} \to \overline{\mathbb{C}}$ be a conformal map such that

$$\chi_{\vec{c}}ig(z^{(0)}ig) = z + \mathcal{O}ig(z^{-1}ig) \quad \text{as} \quad z o \infty.$$

Define constants $A_{\vec{c},i}, B_{\vec{c},i}$ by

$$\chi_c(z^{(i)}) = B_{\vec{c},i} + A_{\vec{c},i}z^{-1} + \mathcal{O}(z^{-2}) \quad \text{as} \quad z \to \infty.$$

Then, as $|\vec{n}| o \infty$, $\vec{n}/|\vec{n}| o \vec{c} \in (0,1)^d$, it holds that

$$\lim a_{\vec{n},i} = A_{\vec{c},i} \quad and \quad \lim b_{\vec{n},i} = B_{\vec{c},i}.$$

Theorem (Aptekarev–Denisov–Ya., in prep.)

When d = 2, the limits of $A_{(c,1-c),i}$, $B_{(c,1-c),i}$ as $c \to 0$ or $c \to 1$ exist and

$$\lim a_{\vec{n},i} = A_{\vec{c},i}$$
 and $\lim b_{\vec{n},i} = B_{\vec{c},i}$.

holds as $|\vec{n}| \to \infty$, $\vec{n}/|\vec{n}| \to \vec{c} \in [0,1]^2$.

Let \mathcal{T} be the rooted tree of all possible increasing paths on \mathbb{N}^d starting at $\vec{1}$.



We denote the set of all vertices of \mathcal{T} by \mathcal{V} . We let

 $\ell: \mathcal{V} \to \{1, \dots, d\}, \quad Y \mapsto \ell_Y \text{ such that } \Pi(Y) = \Pi(Y_{(p)}) + \vec{e}_{\ell_Y},$

where Π is the natural projection of \mathcal{V} onto \mathbb{N}^d .

Let $\vec{\kappa} \in \mathbb{R}^d$, $|\vec{\kappa}| = 1$. Define two interaction functions $A, B : \mathcal{V} \to \mathbb{R}$ by

$$\begin{aligned} A_O &:= 1, \quad B_O := \sum \kappa_i b_{\vec{1} - \vec{e_i}, i}, \quad Y = O, \\ A_Y &:= a_{\Pi(Y_{(p)}), \ell_Y}, \quad B_Y := b_{\Pi(Y_{(p)}), \ell_Y}, \quad Y \neq O. \end{aligned}$$

Assume now that

$$0 < a_{\vec{n},j} ext{ for all } ec{n} \in \mathbb{Z}^d_+ ext{ such that } n_j > 0,$$

 $\sup a_{ec{n},j} < \infty, ext{ sup } |b_{ec{n},j}| < \infty.$

This condition is satisfied by Angelesco systems (Aptekarev–Denisov–Ya., 20). Then, for any function $f \in \ell^2(\mathcal{V})$, the action of the operator $\mathcal{J}_{\vec{\kappa}}$ can be written in the following form

$$(\mathcal{J}_{\vec{\kappa}}f)_O := (Bf)_O + \sum_i (A^{1/2}f)_{O_{(ch),i}}, \quad Y = O,$$

 $(\mathcal{J}_{\vec{\kappa}}f)_Y := A_Y^{1/2}f_{Y_{(p)}} + (Bf)_Y + \sum_i (A^{1/2}f)_{Y_{(ch),i}}, \quad Y \neq O.$

 $\mathcal{J}_{\vec{\kappa}}$ is a bounded and self-adjoint operator on $\ell^2(\mathcal{V})$.

Proposition (Aptekarev-Denisov-Ya., 2020)

Let $v_{\vec{\kappa}}$ be the spectral measure of $\mathcal{J}_{\vec{\kappa}}$ associated to an Angelesco system (μ_1, μ_2) . Then

$$\Theta_{v_{ec \kappa}}(z)=\Xi(\mu_1,\mu_2)rac{\Theta_{\mu_1}(z)-\Theta_{\mu_2}(z)}{\kappa_2\Theta_{\mu_1}(z)+\kappa_1\Theta_{\mu_2}(z)},$$

where $\Theta_{\mu}(z) := \int (x-z)^{-1} d\mu(x)$ and

$$\equiv$$
 $(\mu_1,\mu_2):=\left(\int t (d\mu_2(t)-d\mu_1(t))
ight)^{-1}$

If the measures μ_i are absolutely continuous w.r.t. the Lebesgue measure, then

$$\upsilon_{ec\kappa}'(x) = rac{\Theta_{\mu_2}(x)\mu_1'(x) - \Theta_{\mu_1}(x)\mu_2'(x)}{\left|\kappa_1\Theta_{\mu_1}(x) + \kappa_2\Theta_{\mu_2}(x)
ight|^2}.$$

Proposition (Aptekarev-Denisov-Ya., 2020)

If $v_{\vec{\kappa}}$ and $\Xi(\mu_1, \mu_2)$ are known, then μ_1, μ_2 , and $\mathcal{J}_{\vec{\kappa}}$ can be found uniquely.

Theorem (Aptekarev–Denisov–Ya., in prep.)

Let $\Delta_1 < \Delta_2$ be two intervals. Write $\Delta_{c,i}$ for the support of the *i*-th component of the vector equilibrium measure $\vec{\omega}_{c,1-c}$.

Let $\chi_c(z)$ be the above constructed conformal map on \mathfrak{R}_c that defines constants $A_{c,1}, A_{c,2}, B_{c,1}, B_{c,2}$ together with their limits as $c \to 0$ and $c \to 1$.

Let $\mathcal{J}_{\vec{\kappa}}$ be a Jacobi operator corresponding to some constants $\{a_{\vec{n},i}, b_{\vec{n},i}\}$. If for any $c \in [0, 1]$ it holds that

 $\lim a_{\vec{n},i} = A_{c,i}$ and $\lim b_{\vec{n},i} = B_{c,i}$

where the limit is taken along any sequence $\vec{n}/|\vec{n}| \rightarrow (c, 1-c)$ as $|\vec{n}| \rightarrow \infty$, then $\sigma_{ess}(\mathcal{J}_{\vec{\kappa}}) = \Delta_1 \cup \Delta_2$. We shall say that (μ_1, μ_2) forms a symmetric Stahl system if

$$supp(\mu_1) = [-1, a], \quad supp(\mu_2) = [-a, 1], \quad a \in (0, 1).$$

Let h be an algebraic function given by

$$A(z)h^3 - 3B_2(z)h - 2B_1(z) = 0,$$

where $A(z) := (z^2 - 1)(z^2 - a^2)$, $B_2(z) := z^2 - p^2$, and $B_1(z) := z$, for some parameter p > 0.

Let \mathfrak{R} be the Riemann surface of h. We are looking for the surface such that $\operatorname{Re}\left(\int_{-\infty}^{\infty}h(t)dt\right)$ is a single-valued and harmonic function on \mathfrak{R} .

Theorem (Aptekarev–Van Assche–Ya., 2017)

- (I) If a ∈ (0,1/√2), then there exists p ∈ (a, √(1 + a²)/3) such that the condition is fulfilled. In this case ℜ has 8 ramification points whose projections are {±1,±a} and {±b,±ic} for some uniquely determined b ∈ (a, p) and c > 0.
- (II) If $a = 1/\sqrt{2}$, then the condition is fulfilled for $p = 1/\sqrt{2}$. In this case \Re has 4 ramification points whose projections are $\{\pm 1, \pm 1/\sqrt{2}\}$.
- (III) If $a \in (1/\sqrt{2}, 1)$, then the condition is fulfilled for $p = \sqrt{(1 + a^2)/3}$. In this case \mathfrak{R} has 6 ramification points whose projections are $\{\pm 1, \pm a\}$ and $\{\pm b\}$, $b \in (p, a)$.



Let $\Phi(z) := \exp \left\{ \int^{z} h(t) dt \right\}$. It is a multiplicatively multi-valued function on \mathfrak{R} with the divisor $\infty^{(1)} + \infty^{(2)} - 2\infty^{(0)}$.

Let ρ_1 and ρ_2 be functions holomorphic and non-vanishing in a neighborhood of [-1, 1]. In Case I, assume also that the ratio ρ_1/ρ_2 extends holomorphically to a non-vanishing function in a neighborhood of $\mathfrak{R}^{(1)} \cap \mathfrak{R}^{(2)}$. Then $\Psi_n \leftrightarrow \Phi^n$, where

$$\begin{array}{rcl} \left(\Psi_n^{(1)}\right)^{\pm} &=& \pm \left(\Psi_n^{(0)}\right)^{\mp} \rho_1, \\ \left(\Psi_n^{(2)}\right)^{\pm} &=& \mp \left(\Psi_n^{(0)}\right)^{\mp} \rho_2, \\ \left(\Psi_n^{(2)}\right)^{\pm} &=& \pm \left(\Psi_n^{(0)}\right)^{\mp} \rho_2, \\ \vdots & \left(\Psi_n^{(2)}\right)^{\pm} &=& \pm \left(\Psi_n^{(1)}\right)^{\mp} (\rho_2/\rho_1) \end{array}$$

 $\Psi_n(z)$ has a wandering zero (2 in Case I) and there exists a subsequence \mathbb{N}_* such that

- $|\Psi_n| \leq C(\mathbb{N}_*) |\Phi^n|$ uniformly away from the branch points of \mathfrak{R} ;
- $|\Psi_n| \ge C(\mathbb{N}_*)^{-1} |\Phi^n|$ uniformly in a neighborhood of $\infty^{(0)}$.

Theorem (Aptekarev-Van Assche-Ya., 2017)

Let $d\mu_i(x) = \rho_i(x)dx$ be a symmetric Stahl system, where $\rho_i(x)$ are as before and we assume in addition that the ratio $(\rho_2/\rho_1)(x)$ extends from (-a, a) to a holomorphic and non-vanishing function

- in a domain that contains in its interior the closure of all the bounded components of the regions Ω_{ijk} in Case I;
- in a domain whose complement is compact and belongs to the right-hand component of Ω₀₂₁ in Cases II and IIIa;
- in the extended complex plane, i.e., the ratio is a non-zero constant, in Case IIIb,

where

$$\Omega_{ijk}:=\left\{z:\left|\Phi^{(i)}(z)
ight|>\left|\Phi^{(j)}(z)
ight|>\left|\Phi^{(k)}(z)
ight|
ight\}.$$

Then for multi-indices $\vec{n} = (n, n)$ it holds that

$$P_{\vec{n}}(z) \sim \Psi_n^{-1}(\infty^{(0)}) \Psi_n(z^{(0)}), \quad n \in \mathbb{N}_*.$$

Symmetric Stahl Systems

Case I:



Case II:



Case IIIa:



Case IIIb:

